# Variable-Length Codes getting Framed

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## Setup



- The decoder knows  ${\cal N}_k$  because the sequence is framed
- The set of all sequences of length  $N_k = n$  that are encodings of k symbols forms a nonlinear block code with  $d_{min}(k, n)$
- Free distance:

 $d_{free} = \min\{d_{min}(k,n) : k = 1, 2, \dots; n \in \mathcal{N}_k\}$ 

Variable-length codes

• Length distribution

$$(m_1, m_2, \ldots, m_{\ell_{max}})$$

• Uniquely decodable:

$$\sum_{\ell=1}^{\ell_{max}} m_{\ell} 2^{-\ell} \leq 1$$

- Compact binary VLCs have Kraft sum 1
- Compact prefix-free codes have  $d_{free} = 1$

### Segmentation trellis



Counting sequences to bound  $d_{free}$ 

M(k, n) is the number of source sequences of length k that are encoded into n bits.

• Singleton bound:

$$d_{min} \le n + 1 - \log_2 M.$$

• Plotkin bound:

$$d_{min} \leq \frac{M}{M-1} \cdot \frac{n}{2}.$$

**Proof:** Sum of all distances:

$$S = \sum_{x \in \mathcal{C}} \sum_{y \in \mathcal{C}} d(x, y) \ge M(M - 1)d_{min}.$$
 (1)

Express *S* as sum over bit positions ( $M_i$  is the number of codewords with a 1 in position *i*):

$$S = \sum_{i=1}^{n} 2M_i (M - M_i) \le n \frac{M^2}{2}.$$
 (2)

• Tightened Plotkin bound (optimize over j = 0, 1, ..., n - 1):

$$d_{min} \le \frac{M}{M-2^j} \cdot \frac{n-j}{2}$$

**Proof:** Divide C into  $2^j$  classes of codewords which are identical in the first j positions. Let  $M_j$  be the size of the respective class. Then  $d_{min} \leq \frac{M_j}{M_j-1} \cdot \frac{n-j}{2}$  and the bound follows by observing that  $\max\{M_j\} \geq M/2^j$ .

#### Examples

- 1. A compact code with length distribution (1,1,1,2) has  $d_{free} \le 2$ , since M(3,6) = 13(6 = 1 + 1 + 4 = 1 + 2 + 3 = 2 + 2 + 2).
- 2. A compact code with length distribution (0,1,1,3,6,12,8) has  $d_{free} \leq 3$ , due to e.g.  $m_4 = 3$ . If we further consider A(n,d) for e.g. n = 5, we see that  $d_{free} \leq 2$  (Plotkin bound too weak in this case).
- 3. The reversible (a.k.a. fix-free) VLC

 $\mathcal{C}_{12} = \{00, 11, 010, 101, 0110\}$ 

(Takishima, Wada, Murakami 1995) with Kraft sum 0.8125 has  $d_{free} \leq 2$ , due to  $m_2 = 2$ . Proposition:  $d_{free} = 2$ . Sketch of proof:  $d_{min}(1, \ell) \geq 2$  and "prefix, resp. suffix distances"  $\geq 1$ .

All fix-free VLCs have  $d_{free} \ge 2$  if the distance between equal-length codewords is  $\ge 2$ .

- 4. The binary comma code contains the words  $0^{\ell-1}1$  for  $\ell = 1, \ldots, \ell_{max}$  (Kraft sum  $1 2^{-\ell_{max}}$ ) and has  $d_{free} = 2$ . The last bit in a frame may be deleted.
- 5. Compact two-length codes have  $d_{free} = 1$ .

$$m_1 2^{-\ell_1} + m_2 2^{-\ell_2} = 1$$

**Lemma 1** Let  $C_n$  be a binary  $(n, 2^{n-1}, 2)$  code. Then  $C_n$  consists of either all the even weight words or all the odd weight words of length n.

**Proof:** Suppose  $C_n$  contains both even and odd weight words. Then the "coset"  $\overline{C}_n = C_n + 0^{n-1}1$ is also a  $(n, 2^{n-1}, 2)$  code and must be equal to  $GF(2)^n \setminus C_n$ . But the minimum distance between even and odd weight words in  $C_n$  is at least three, therefore there exists a pair of words (x, y) with d(x, y) = 1 that is neither in  $C_n$  nor in  $\overline{C}_n$ , which is a contradiction.

6

**Proposition 1** Any uniquely decodable VLC with multiplicity  $m_{\ell} = 2^{\ell-1}$  for some  $\ell > \ell_{min}$  has  $d_{free} = 1$ .

**Proof:** The Singleton bound implies  $d_{free} \leq 2$ . Let  $C_{\ell}$  be the subset of codewords of length  $\ell$ . To have  $d_{free} = 2$ , Lemma 1 requires  $C_{\ell} = \mathcal{E}_{\ell}$  (the even weight words of length  $\ell$ ) or  $C_{\ell} = \mathcal{O}_{\ell}$  (odd weight). Then for any  $x \in C_{\ell_{min}}$  we can always find a  $y \in \{0, 1\}^{\ell - \ell_{min}}$  such that  $xy \in C_{\ell}$ . But this implies that also  $yx \in C_{\ell}$  and therefore the sequence xyx is not uniquely decodable.

Asymptotia: Coding Extension Sources

- Binary memoryless source with  $\Pr{S=1} = p$
- Ideal codeword length for k-th extension ( $k_1$  ones):

$$n = -k_1 \log p - (k - k_1) \log(1 - p)$$

- Decoder knows type  $Q=(\frac{k_1}{k},1-\frac{k_1}{k})$  of source sequence if  $p\leq \frac{1}{3}$  or  $p\geq \frac{2}{3}$
- Size of type class:

$$\log |T_Q^k| = \log {\binom{k}{k_1}} \approx kH(Q) - \frac{1}{2}\log \frac{2\pi(k-k_1)k_1}{k}$$

• For 
$$k_1 = \lfloor pk \rfloor \gg 1$$
:  
 $n - \log |T_Q^k| \approx kD(Q||P) + \frac{1}{2}\log(2\pi kp(1-p))$ 

• Singleton bound:

$$d_{min}(k) \lesssim 1 + \frac{1}{2}\log(2\pi p(1-p)) + \frac{1}{2}\log k$$

### Conclusions

- $d_{free}$  of most practical VLCs is limited by the multiplicities of short codewords.
- Challenge: find a compact VLC with  $d_{free} = 2$  or prove that none exists.
- Criteria such as "speed" of resynchronization might be more important in applications.